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AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listing of claims in the application:

Claim 1 (currently amended): A method for managing a securities transaction in a system having one or more servers, one or more clients, and one or more databases, comprising:

receiving over a network an application for money from an entity and an application to issue for sale a set of one or more bonds, wherein the application for money indicates and is associated with initiation of a securities issuance;

receiving over the network interest in the securities transaction in response to the application for money, wherein the securities transaction relates to the issued securities; and

determining to which of a plurality of categories the securities transaction belongs and, based on the determination, presenting at least one standard document associated with that category.

Claim 2 (previously presented): The method of claim 1, further comprising:

before presenting the at least one standard document, modifying the at least one standard document based on the application for money.

Claim 3 (original): The method of claim 1, wherein the entity is one or more members selected from the group consisting of an issuer, an issuer financial staff, and a financial advisor.

Claim 4 (previously presented): The method of claim 1, wherein the securities transaction is a municipal bond sale and the securities issuance is a municipal bond issuance.

Claim 5 (original): The method of claim 1, wherein the network is the Internet.

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Claim 6 (original): The method of claim 1, wherein the one or more standard documents includes one or more members selected from the group consisting of an official statement, a bond indenture, a county resolution, a municipal board resolution, an actual form of bond, a legal opinion, and a certificate of the clerk.

Claim 7 (original): The method of claim 1, further comprising communicating the at least one standard documents to the client.

Claim 8 (original): The method of claim 1, further comprising communicating for display to the client a representation of one or more of the one or more standard documents on a web page associated with the system.

Claim 9 (original): The method of claim 1, further comprising communicating for display to the client a link to a representation of one or more of the one or more standard documents on a web page associated with the system.

Claim 10 (original): The method of claim 1, further comprising obtaining, receiving, or communicating a tax-free guarantee associated with the securities transaction.

Claim 11 (original): The method of claim 1, further comprising receiving a request to sample one or more pricing dates associated with the securities transaction.

Claim 12 (original): A method for conducting a bond sale transaction, comprising:

receiving an application to issue for sale a set of one or more bonds; and

issuing for sale the set of bonds, including preparing for the bond sale without communicating with a counsel.

Claim 13 (original): The method of claim 12, wherein the counsel is a bond counsel.

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Claim 14 (original): The method of claim 12, wherein the bonds are municipal bonds.

Claim 15 (original): The method of claim 12, wherein the step of preparing for the bond sale includes preparing a tax opinion.

Claim 16 (previously presented): A method for conducting a bond sale transaction, comprising:

receiving an application to issue for sale a set of one or more bonds; and

issuing for sale the set of bonds, wherein the issuing includes preparing for the bond sale and selling the set of bonds without communicating with one or more of the members selected from the group consisting of a financial advisor, a bond counsel, a disclosure counsel, a tax counsel, an underwriters counsel, an internal counsel, a finance staff, and a bank trustee.

Claim 17 (currently amended): A method for managing a bond sale in a system having a network, one or more servers, one or more clients, and one or more databases, comprising:

receiving over a network an indication of interest in a bond sale and an application to issue for sale a set of one or more bonds; and

processing the indication of interest by a pricing engine to determine a price for a bond associated with the bond sale, wherein the pricing engine determines the price based on at least one member selected from the group consisting of a bond volume factor, an income tax factor, a relationship factor, a perception of value factor, and a stock market factor.

Claim 18 (original): The method of claim 17, wherein the pricing engine is automated or computerized.

Claim 19 (original): The method of claim 17, wherein the bond is a municipal bond.

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Claims 20-22 (canceled)

Claim 23 (original): A method for managing a sale of securities in a system having one or more servers, one or more clients, and one or more databases, comprising:

accepting one or more orders for one or more of the securities from one or more individual investors before accepting one or more orders for one or more of the securities from one or more institutional investors.

Claim 24 (original): The method of claim 23, wherein the accepting of the one or more orders for the one or more securities from the one or more individual investors occurs at least one day before the accepting of the one or more orders for the one or more securities from the one or more institutional investors.

Claim 25 (original): The method of claim 23, wherein the securities are bonds.

Claim 26 (currently amended): A computer program product for use in managing a securities transaction in a system including one or more servers, one or more clients, and one or more databases, said computer program product comprising a computer readable medium including:

~~computer readable program code embodied in said medium configured to cause the computer to receive over a network an application for money from an entity, wherein the application for money is associated with a securities issuance;~~

computer readable program code embodied in said medium configured to cause a computer to receive over a network an application for money from an entity, an application to issue for sale a set of one or more bonds, and an indication of interest in a bond sale;

computer readable program code for causing ~~[[a]]~~ the computer to effect reviewing the application for money; and

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computer readable program code for causing said computer to determine to which of a plurality of categories the securities issuance belongs and, based on the determination, to present at least one standard document associated with that category.

Claim 27 (currently amended): An article of manufacture comprising:

a computer readable medium including computer readable program code embodied therein for causing managing a securities transaction in a system including one or more servers, one or more clients, and one or more databases, the computer readable program code in said article of manufacture comprising:

~~computer readable program code for causing a computer to effect receiving over a network an application for money from an entity, wherein the application for money is associated with a securities issuance;~~

computer readable program code for causing a computer to effect receiving over a network an application for money from an entity, an application to issue for sale a set of one or more bonds, and an indication of interest in a bond sale; and

~~computer readable program code for causing the computer to effect reviewing the application for money; and~~

~~computer readable program code for causing said computer to determine to which of a plurality of categories the securities issuance belongs and, based on the determination, to present at least one standard document associated with that category.~~

computer readable program code for causing the computer in response to the indication of interest to effect communicating over the network for display to at least one of the one or more clients at least one member selected from the group consisting of one or more initial bond offering documents, data related to historical bond pricing, data related to pricing of similar past bond offerings, data related to one or more news articles associated with the bond transaction, one or

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more links associated with a newspaper having one or more articles related to the bond transaction, and one or more links to a news article associated with the bond transaction.

Claim 28 (currently amended): A computer program product for use in conducting a bond sale transaction, said computer program product comprising:

~~a computer readable medium including computer readable program code embodied in said medium for causing receiving an application to issue for sale a set of one or more bonds; and~~

a computer readable medium including computer readable program code embodied in said medium for causing receiving over a network an application to issue for sale a set of one or more bonds and an indication of interest in a bond sale; and

computer readable program code for causing a computer to effect issuing for sale the set of bonds, wherein the method includes preparing for the bond sale without communicating with a counsel.

Claim 29 (currently amended): An article of manufacture comprising:

a computer readable medium including computer readable program code embodied therein for causing conducting a bond sale transaction, the computer readable program code in said article of manufacture comprising:

~~computer readable program code for causing a computer to effect receiving an application to issue for sale a set of one or more bonds; and~~

computer readable program code for causing a computer to effect receiving over a network an application for money from an entity, an application to issue for sale a set of one or more bonds, and an indication of interest in a bond sale; and

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computer readable program code for causing the computer to effect issuing for sale the set of bonds, wherein the method includes preparing for the bond sale without communicating with a counsel.

Claim 30 (currently amended): A computer program product for use in conducting a bond sale transaction, said computer program product comprising:

~~a computer readable medium including computer readable program code embodied in said medium for causing receiving an application to issue for sale a set of one or more bonds; and~~

a computer readable medium including computer readable program code embodied in said medium for causing receiving over a network an application for money from an entity, an application to issue for sale a set of one or more bonds, and an indication of interest in a bond sale; and

computer readable program code for causing a computer to effect issuing for sale the set of bonds, wherein the method includes preparing for the bond sale without communicating with one or more of the members selected from the group consisting of a financial advisor, a bond counsel, a disclosure counsel, a tax counsel, an underwriters counsel, an internal counsel, a finance staff, and a bank trustee.

Claim 31 (currently amended): An article of manufacture comprising:

a computer readable medium including computer readable program code embodied therein for ~~causing conducting a bond sale transaction~~ managing a securities transaction in a system including one or more servers, one or more clients, and one or more databases, the computer readable program code in said article of manufacture comprising:

~~computer readable program code for causing a computer to effect receiving an application to issue for sale a set of one or more bonds; and~~

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computer readable program code for causing a computer to effect receiving over a network an application for money from an entity and an application to issue for sale a set of one or more bonds; and

~~computer readable program code for causing the computer to effect issuing for sale the set of bonds, wherein the method includes preparing for the bond sale without communicating with one or more of the members selected from the group consisting of a financial advisor, a bond counsel, a disclosure counsel, a tax counsel, an underwriters counsel, an internal counsel, a finance staff, and bank trustee.~~

computer readable program code for causing a computer to effect issuing for sale the set of bonds, wherein the method includes preparing for the bond sale without communicating with a counsel.

Claims 32-33 (canceled)

Claim 34 (original): A computer program product for use in managing a sale of securities in a system having one or more servers, one or more clients, and one or more databases, said computer program product comprising:

a computer readable medium including computer readable program code embodied in said medium for causing accepting one or more orders for one or more of the securities from one or more individual investors before accepting one or more orders for one or more of the securities from one or more institutional investors.

Claim 35 (original): An article of manufacture comprising:

a computer readable medium including computer readable program code embodied therein for causing managing a sale of securities in a system having one or more servers, one or more clients, and one or more databases, the computer readable program code in said article of manufacture comprising:

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computer readable program code for causing a computer to effect accepting one or more orders for one or more of the securities from one or more individual investors before accepting one or more orders for one or more of the securities from one or more institutional investors.

Claim 36 (original): The method of claim 1, further comprising creating or presenting an on-line secondary market for sale of the securities.

Claim 37 (original): The method of claim 23, wherein the accepting of the one or more orders for the one or more securities from the one or more individual investors occurs at least two hours before the accepting of the one or more orders for the one or more securities from the one or more institutional investors.

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